

**Are we ready to move forward with a  
regulatory test case?**



A close-up photograph of a tree trunk, likely an American chestnut, showing extensive rust-colored blight lesions. The bark is dark brown and textured, with large, irregular, orange-brown patches of blight. Two small, thin, dark branches extend from the trunk. The background is a blurred green field.

**Blight resistant American chestnut tree will establish a new paradigm**

**No transgenic plants have been deregulated for use in a restoration program**



# American chestnut regulatory model for other forest species



Ash tree marked for removal on Tejah Ave,  
Syracuse, NY

Emerald ash borer is  
spreading despite best  
efforts to stop it.

Dr. Paula Pijut (USFS)  
Purdue Univ.  
developing  
**Bt Ash**

# Loss of Hemlock to woolly adelgid in NC



American elm  
(DED and **elm yellows**)



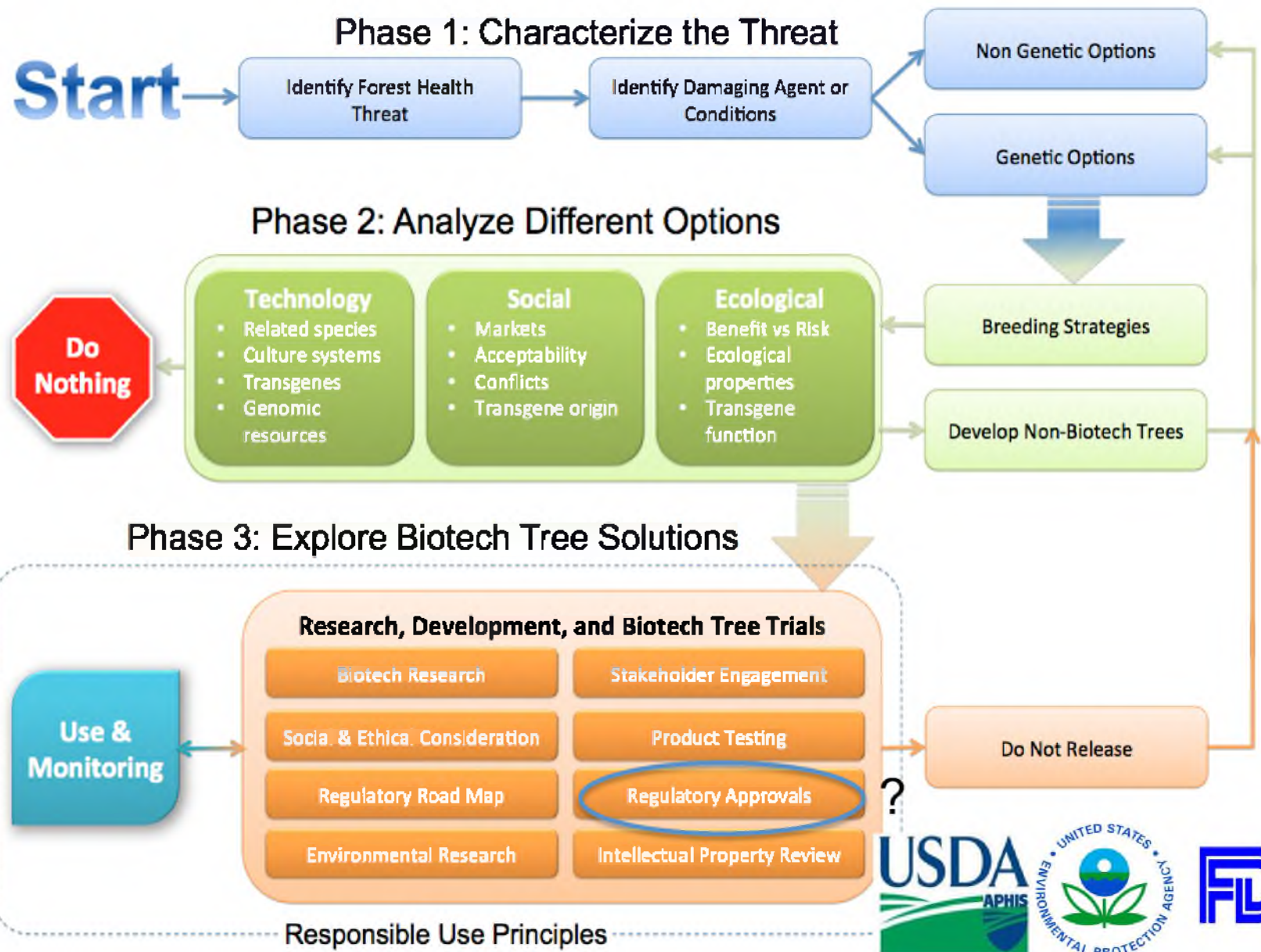
Black walnut  
(thousand canker disease)

And more...

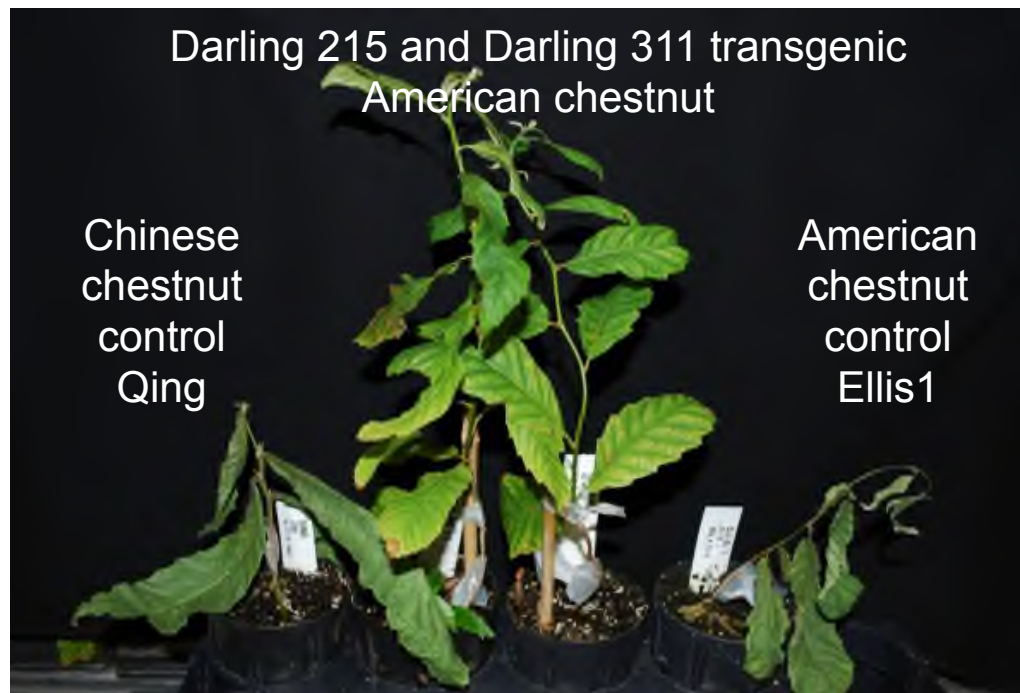
**Need a roadmap through deregulation of restoration trees**



# The Forest Health Roadmap



- We have “version 1.0” blight resistant American chestnut trees using the oxalate oxidase (OxO) gene
  - Darling 4 was the “beta” version – proof-of-concept for enhancing blight resistance
  - Version 1.1 might be OxO + Lac
  - Version 2.0 trees to follow with stacked genes and *Phytophthora* resistance



**The safety of OxO can be easily understood by  
the public because they eat it all the time  
(gluten free)**



**The regulators may view it as GRAS:**

**“If there is no demonstrated hazard from the PIP, exposure to a PIP  
expressing plant itself is not a risk endpoint.”**

Kough & Edelstein, Chap 10, C.A. Wozniak and A. McHughen (eds.), *Regulation of Agricultural Biotechnology*: 163 *The United States and Canada*, DOI 10.1007/978-94-007-2156-2\_10,  
© US Government 2013



EPA asked if there was a easy identifier...

Yes!

Quick screen for OxO gene



OxO assay

Note: Can't be done with a cisgene.

Make into a simple screening kit.  
Use for testing OxO persistence.  
Testing outcross offspring.



- +







A single, dominant resistance gene can rescue the genetic diversity of surviving chestnut population, and maybe aid the breeding program



T1 ~50%  $R_{OxO}$   
~ 50% 0



X



F1 hybrid  $R_1r_1 R_2r_2 R_3r_3$  X  $r_1r_1 r_2r_2 r_3r_3$

$r_1r_1 r_2r_2 r_3r_3 R_{OxO}$



Kim Steiner  
suggested  
capturing these  
genes by crossing

3X  
BC1-3

$R_1r_1 R_2r_2 R_3r_3$   
 $R_1r_1 R_2r_2 r_3r_3$   
 $r_1r_1 R_2r_2 R_3r_3$   
 $R_1r_1 r_2r_2 R_3r_3$

X  $r_1r_1 r_2r_2 r_3r_3$

Blight resistance assays select  
a mix of genotypes.  
Must screen many, many trees.

## Why pursue deregulation now?

- To do top rate environmental studies, you need to plant thousands of trees and we need open pollination
  - Current studies are limited by:
    - plot size (10 acre max)
    - flower inspection, removal, or bagging
    - limiting growth to control flowering
    - cost of regulatory compliance
    - risk of escape
      - Not due to safety, but because regulated
  - Small scale environmental studies are ongoing



Small scale environmental studies to date show transgenic American chestnuts are promising and support that deregulation is a “safe” path forward



USDA NIFA Biotechnology Risk Assessment Grants (\$880K)  
**Comparing transgenics to traditional breeding**

- Collaborators at SUNY College of Environmental Science & Forestry:
  - **Dr. Parry** – Entomologist
  - **Dr. Briggs** – Forest soils, Silviculture
  - **Dr. Nowak** - Vegetation Management, Silviculture and Forest Ecology, Production Ecology and Plant Ecophysiology, Invasive Exotic Plant Control, Biogeography and Cultural Landscapes, Sustainable Management and Certification Systems
  - **Dr. Horton** – Environmental Mycologist, Mycorrhizal Ecologist
  - **Dr. Leopold** – Plant Ecologist, Dendrologist
  - **Dr. Maynard** – Woody plant tissue culture, genetic engineering a blight-resistant American chestnut, conventional forest genetics & tree improvement, forest ecology, forest health, restoration ecology
  - **Dr. Powell** – Molecular Biology, Plant Pathology, Forest Biotechnology
- Collaborators outside SUNY ESF
  - **Dr. Tschaplinski** (Oak Ridge National Labs) – metabolomics.
  - **Dr. Sweeney** (Stroud Water Research Center) - the role of streamside forests in the structure and function of stream and river ecosystems.



# Metabolomics studies support similar or lower risks than hybrid breeding.



Metabolites are the intermediates and products of metabolism. The term metabolite is usually restricted to small molecules.

Question: Does genetic engineering cause more or less change than traditional hybrid breeding?

Transgenic: most modified available & intermediate resistance  
– 4 or 5 transgenes, 2 vectors, multiple inserts

Hybrids: first generation backcross  
- less complex than many on the market and in nut orchards

This project is supported in part by **Biotechnology Risk Assessment Grant Program** competitive grant no. 2012-33522-19863 from the USDA National Institute of Food and Agriculture and the Agricultural Research Service.



ThermoElectron Polaris GCQ  
 -Ion trap (GC-MS/MS)  
 -Structural information  
 -Narrow candidate unknown metabolites



Waters GCT Premier TOF-MS  
 -Accurate mass  
 -Elemental composition  
 -High speed, sensitivity, dynamic range

# Mass Spectrometry (MS)-based Metabolomics

Tim Tschaplinski

Nancy Engle

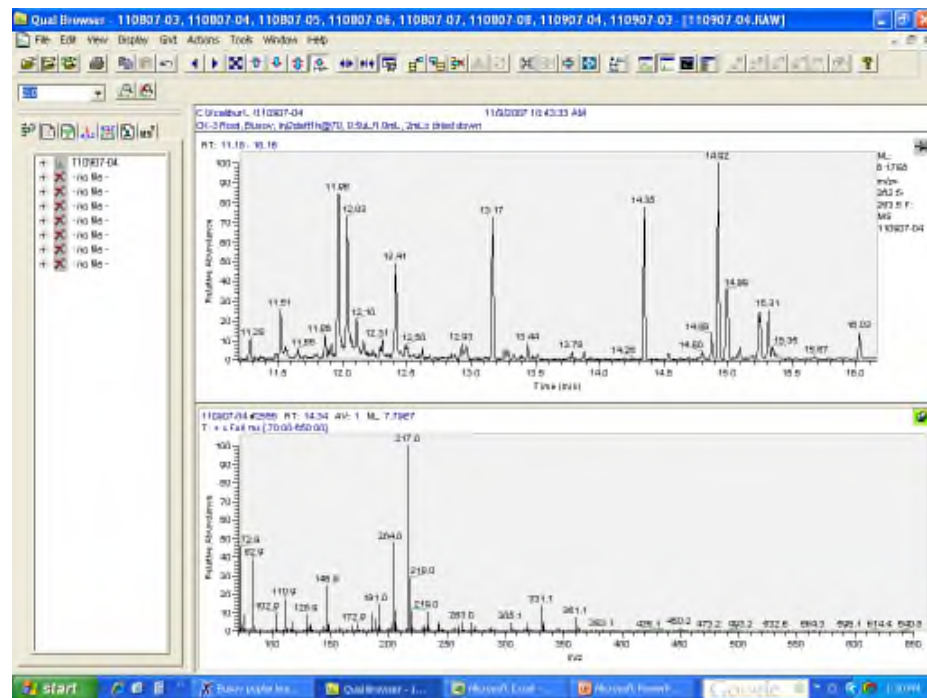
Madhavi Martin

Stacy Evans

Cassie Bruno

K.C. Cushman

Biosciences Division, Oak Ridge National Laboratory

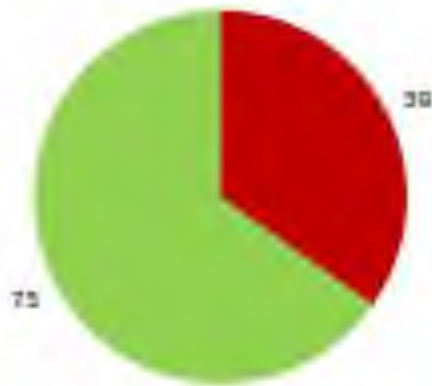


GC-MS  
 GCQ  
 GC-ToF-MS

# Genetic engineering produces fewer changes than traditional breeding:

Number of significant changes (red) in 112 metabolites

American chestnut  
vs  
**Chinese chestnut**



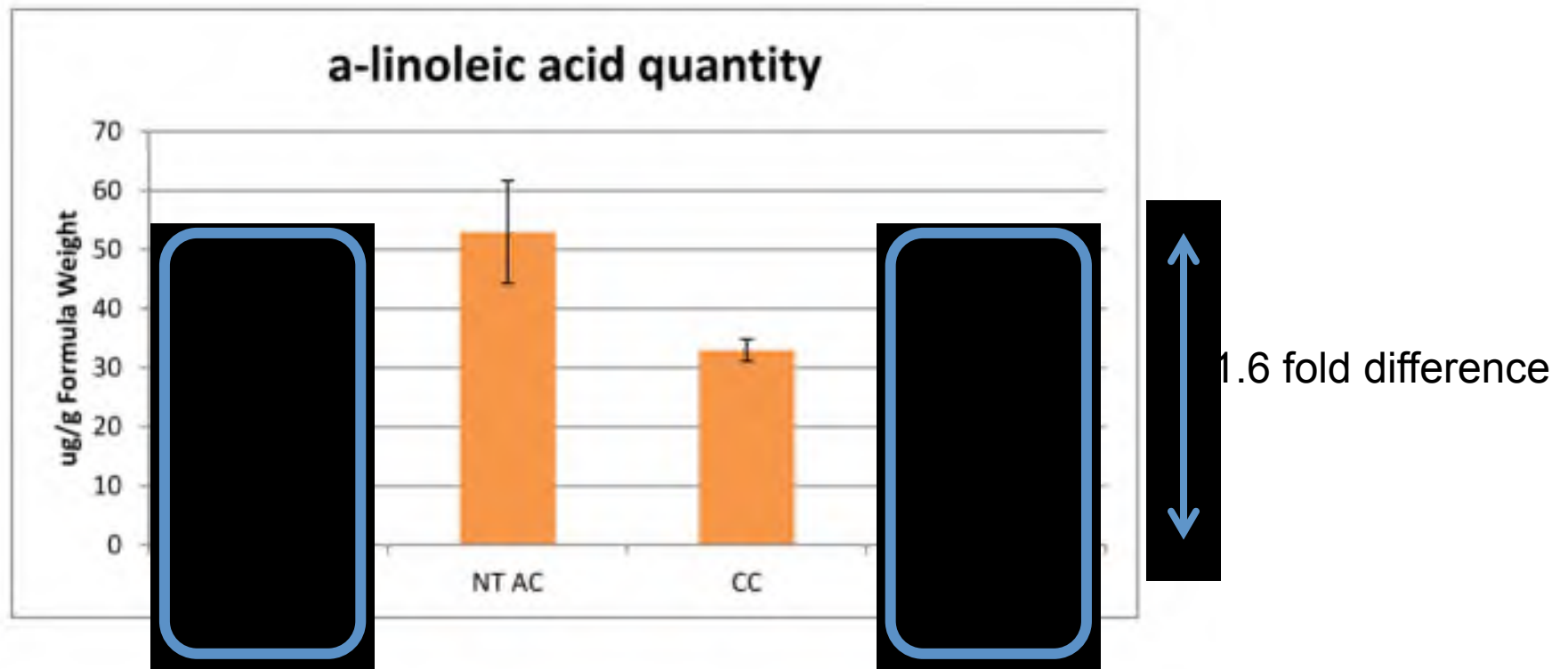
■ # of metabolites with significant differences

■ # of metabolites without significant differences

\*BC1 = backcross hybrid: (American X Chinese) X American



The single significantly different metabolite in leaves of transgenic American chestnut is small and the same as in the BC1 Hybrid & Chinese

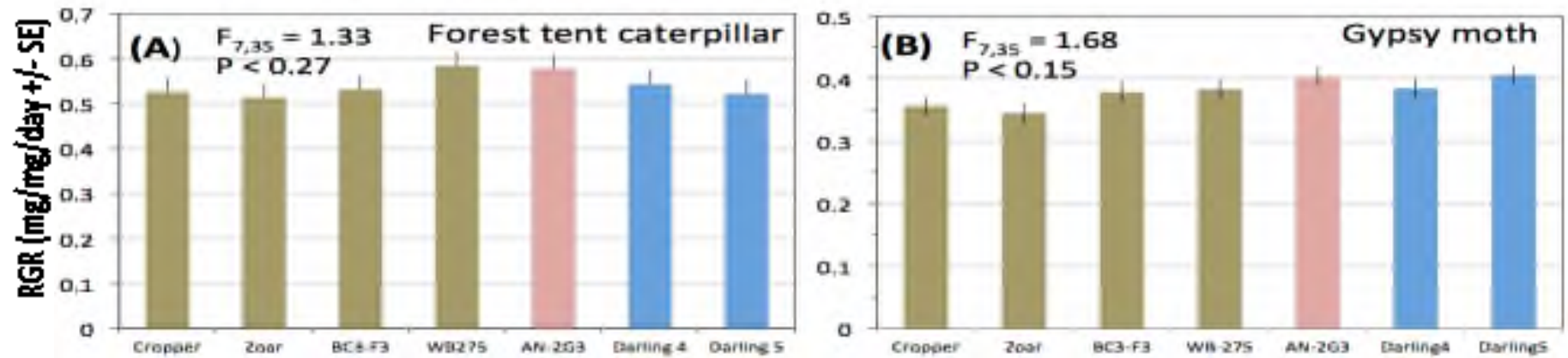


Same change in transgenic & backcross hybrid

## Insect herbivory on leaves backs up metabolomics

No significant difference

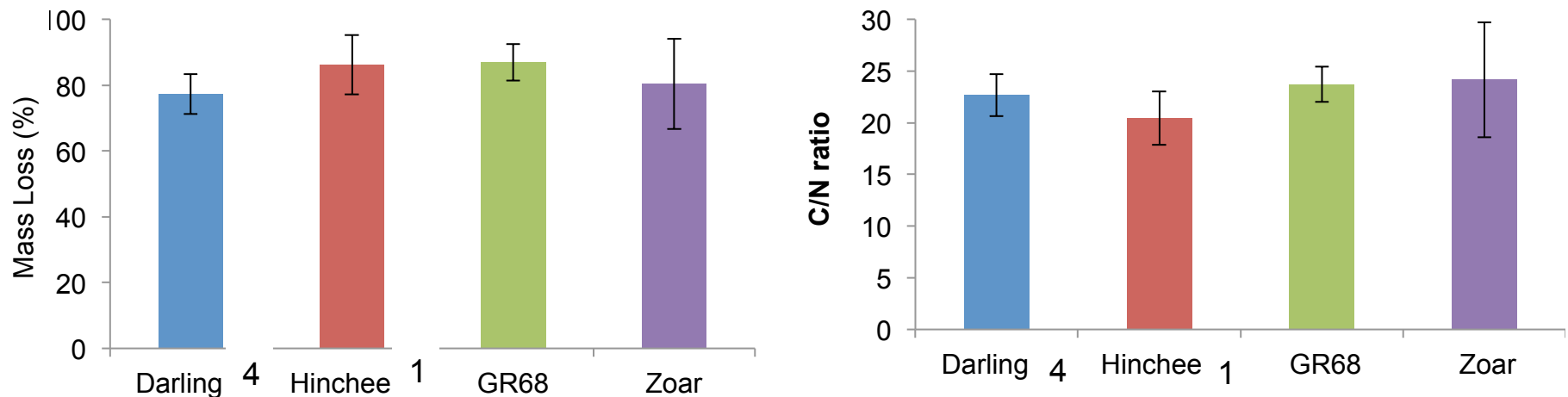
Dr. Dylan Perry (Professor)



## Decomposition of chestnut foliage backs up metabolomics

No significant difference

Amanda Gray (M.S. Student) & Dr. Russ Briggs (Professor)



# Conclusion



Even when adding five transgenes, genetic engineering made fewer and smaller changes in metabolites than conventional breeding.

The few changes had no biological significance with respect to insect feeding or leaf litter decomposition.





## 1000 feet effective pollination isolation distance



Example 1: “trees only 100 feet apart will experience reduced pollination success, and trees 1000 feet apart are essentially reproductively isolated.”

P. A. Rutter. 1990. Chestnut Pollinators Guide. Badgersett Research Corporation, Bulletin 1. (<http://www.badgersett.com>)

### Example 2:

Midwest Nut Producers Council Journal -  
Late Spring 2012 - Volume 1, Issue 1

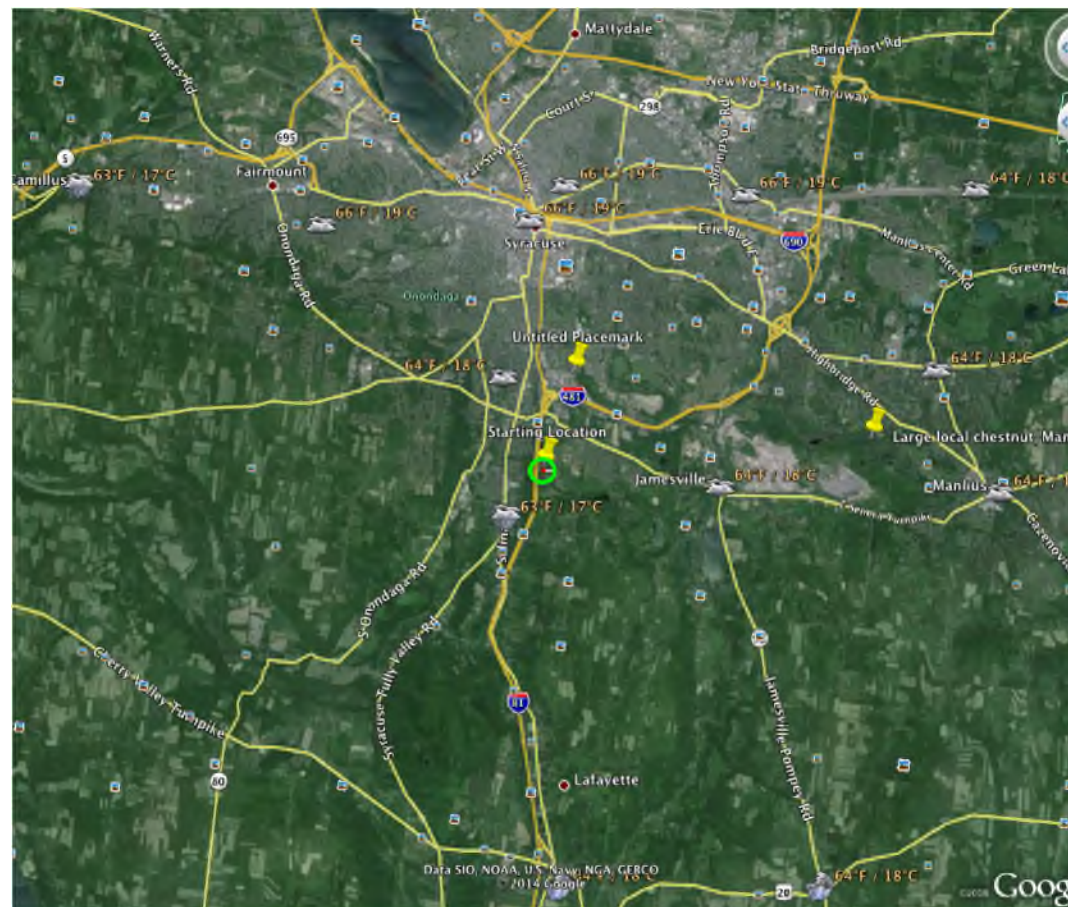
#### **What can growers do to minimize damage from IKB?**

Don't allow Chinese chestnuts to  
pollinate the 'Colossal' trees.

Keep Chinese trees at least 1,000  
feet away from the 'Colossal' trees  
in your orchards so that their pollen  
doesn't pollinize the trees.

\* 'Colossal' - European/Japanese hybrid

FHI might want to double to  
2000 ft (~609 meters)



## Education & Outreach works

### Example 1: SUNY-ESF Library Transgenic American elm, 6 yrs

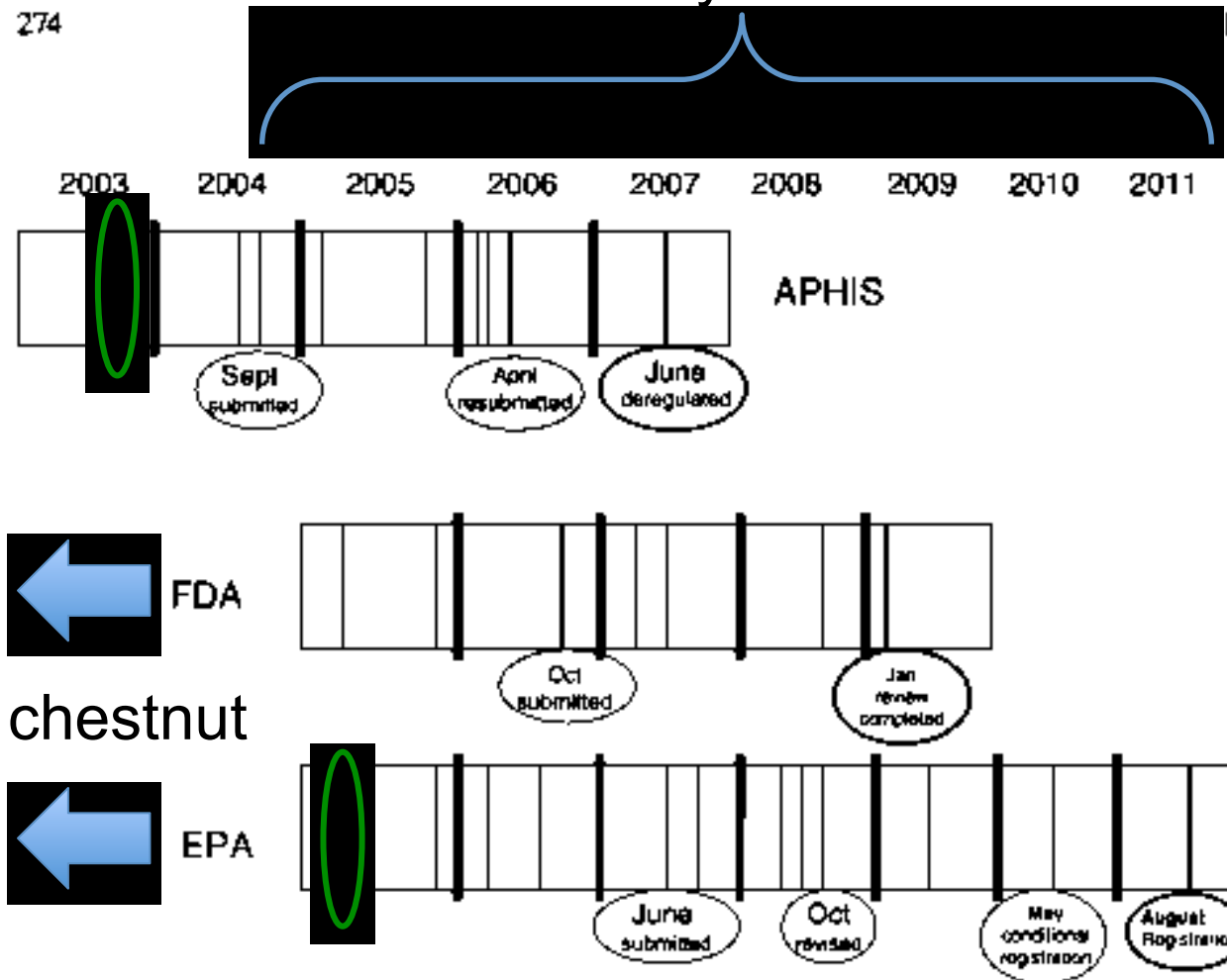


### Example 2: New York Botanical Garden Transgenic American chestnut, 3<sup>rd</sup> yr

# How long will it take?

## Transgenic Plum (HoneySweet) example:

7 years



American chestnut  
5 years?



EPA

Fig. 12.2 Schedule of regulatory consultations (*thin lines*), submissions and approvals for 'HoneySweet' plum. Thin vertical lines indicate dates of meetings between regulators and applicant



# What deregulation may look like. (starting the discussion)

- Produce 10,000 blight resistant American chestnut trees during the regulatory review
  - Tight spacing, < 10 acres
  - Continue small scale environmental research.
  - Education & outreach programs should parallel review process.
- Once deregulated, give out “**monitored trees**”.
  - Trees will be easily identifiable (OxO assay kit).
  - All first recipients agree to make annual reports (5 years?)
    - Similar to TACF’s agreement with backcross trees but simpler
    - TACFNY members first (invested) & then others who agree
  - Simple web-based/app data collection
    - **TreeTaggr** or something similar
    - Record growth, flowering, nut production, health, any unusual observations, etc. (questions developed by ecologists)
- Newer, improved versions may follow.
  - Phytophthora resistant, stacked genes



# 100's of lay researches helping primary researchers evaluate “monitored” trees

- Comparisons to mother trees
  - TACFNY already has a wild-type “mother” tree planting program started (see Allen Nichols).
  - Used to enhance genetic diversity.
- Advantage of having many locations on private lands
  - Would provide a broader range of environments that just a few test sites & would supplement larger designed experiments.
- Other plantings
  - 3 large scale research plots
  - Mine land reclamation
  - Botanical gardens
  - Some historic sites
- Wait on national forests and some park lands



# Questions?

**“Optimism is  
the faith that  
leads to  
achievement;  
nothing can  
be done  
without  
hope.”  
*Helen Keller***



**“For myself I  
am an optimist  
- it does not  
seem to be  
much use  
being anything  
else”  
*Winston  
Churchill***

**Large spreading American chestnut tree  
in MI, 1980's by Alan D. Hart**

# Exploring deregulation/registration to promote research & outreach

- We need a test case to identify a path that has minimal paperwork requirements given the public good & ecological goals, but is responsible and rigorous in its science and outreach.
  - This will not be easy
  - Will need a lot of help from various stakeholders
  - Need to hire dedicated personnel



from *Pandora's Picnic Basket*  
by Dr. A. McHughen